



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	Charles L. Euteneuer, Christopher R. Larson, Steven P. Mertens, Richard C. Mattison, David J. Blaeser, Louis G. Ellis, Andrew J. Dusbabek and Terry V. Brown
Application No.:	10/648075
Filed:	August 26, 2003
For:	Catheter Support for Stent Delivery
Examiner:	Not Yet Assigned
Group Art Unit:	Not Yet Assigned

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Docket No.: S63.2N-6399-US04

INFORMATION DISCLOSURE STATEMENT

Listed below or on an attached form listing the cited references and/or a copy of a PTO-892 form is information known to applicant(s). A copy of each listed publication, U.S. patent, foreign patent and U.S. patent application is being submitted herewith, along with a concise explanation of information in a foreign language, if any, pursuant to 37 C.F.R. §1.97-1.98, *except that* U.S. applications from which priority is claimed under 35 U.S.C. §120, and documents cited in such priority applications, may be omitted from the enclosures pursuant to 37 C.F.R. 1.98(d). Applicant's submission of copies of U.S. applications does not constitute a waiver of the confidentiality of such applications. As such, **Applicant requests that any copies of unpublished US applications submitted herewith be excluded from the file wrapper pursuant to 37 C.F.R. §1.14.**

Applicants respectfully request that the listed information be considered by the Examiner and be made of record in the above-identified application. If the form listing the cited references or PTO-892 from a prior application is enclosed, the Examiner is requested to initial and return it in accordance with MPEP §609.

This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in §1.56.

Only foreign and non-patent documents scanned in the electronic file have been considered. VB

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /VB/

Applications that are listed on the accompanying form listing the cited references as related by priority are related by priority claim under 35 USC §120. Pursuant to 37 CFR §1.98(d), no copies of cited art in a previous application(s) to which priority was claimed need be submitted. Applicant is providing copies of the form listing the cited references and/or 892 forms from these cases.

  X   I. This statement qualifies as a no-fee Information Disclosure Statement under 37 C.F.R. §1.97(b) or otherwise because to the knowledge of the undersigned attorney it is being filed (check all that apply):

- X   (1) within 3 months of the filing date of the application (other than a CPA); or
- (2) within 3 months of entry of the national stage; or
- X   (3) before the mailing of a first Office Action on the merits;
- (4) before the mailing of a first Office Action after the filing of a request for continued examination (RCE) under §1.114;
- (5) as part of a continued prosecution application (CPA); or
- (6) during the period of a suspension of action for a CPA under 37 C.F.R. §1.103(b).

      II. This statement is believed to require a fee or the submission of a certification under 37 C.F.R. §1.97 (c) or otherwise. If this statement is being filed after the latest of: (1) three months beyond the filing date of a national application (other than CPA); (2) three months beyond the date of entry of the national stage as set forth in §1.491 in an international application; (3) the mailing of a first Office Action on the merits; (4) the mailing of a first Office Action after the filing of a request for continued examination under §1.114; or (5) after the filing of a request for a continued prosecution application, but before the mailing date of the earlier of a final office action under §1.113, a notice of allowance under §1.311 or an action that otherwise closes prosecution in the application, then:

- (1) a certification as specified in §1.97(e) is provided below; or
- (2) a fee of \$180.00 as set forth in §1.17(p) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.

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\_\_\_\_ **III. 37 C.F.R. §1.97(d).** If this statement is being filed after the mailing date of the earlier of a final office action under §1.113, a notice of allowance under §1.311, or an action that otherwise closes prosecution in the application, but before payment of the issue fee, then:

- \_\_\_\_ (1) a certification as specified in §1.97(e) is completed below; and  
\_\_\_\_ (2) a fee of \$180.00 as set forth in §1.17(p) is authorized below, enclosed, or included with payment of other papers filed together with this statement.

X **IV. Fee Authorization.** If any fee is due for consideration of this Information Disclosure Statement and full payment has not been submitted herewith, regardless of which boxes have been checked above, the Commissioner is hereby authorized to charge any additional fees associated with this communication to Deposit Account No. 22-0350. The Commissioner is hereby authorized to credit any overpayment associated with this communication to Deposit Account No. 22-0350.

***If paragraph II.1 or III is checked, also check one of the paragraphs below***

\_\_\_\_ I hereby certify, under 37 CFR §1.97(e)(1), that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the date of the filing of this information disclosure statement.

\_\_\_\_ This communication was not received by any individual designated in § 1.56(c) more than thirty days prior to the filing of the Information Disclosure Statement.

\_\_\_\_ I hereby certify, under 37 CFR §1.97(e)(2), that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and to the knowledge of the person signing the statement after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

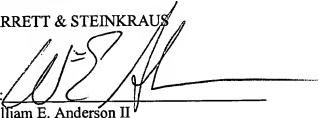
*For the purpose of this certification, Applicant considers the PCT International Search Authority to constitute a foreign patent office.*

If this Information Disclosure Statement has been submitted without the appropriate box checked, Applicant requests that this Information Disclosure Statement be considered nevertheless if it is timely submitted under any of the provisions of 37 C.F.R. §1.97 or otherwise. Finally, if any petition is necessary to ensure consideration of this Information Disclosure Statement, Applicant requests that this be treated as such a petition.

Respectfully submitted,

VIDAS, ARRETT & STEINKRAUS

Date: September 11, 2003

By:   
William E. Anderson II  
Registration No.: 37766

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Only foreign and non-patent documents scanned in the electronic file have been considered. VB

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /VB/



<b>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b> (Use several sheets if necessary)		ATTY DOCKET NO.: S63.2N-6399-US04		APPLICATION NO.: 10/648075		
		APPLICANT: Charles L. Euteneuer, Christopher R. Larson, Steven P. Mertens, Richard C. Mattison, David J. Blaeser, Louis G. Ellis, Andrew J. Dusbabek and Terry V. Brown				
		FILING DATE: August 26, 2003		GROUP: Not Yet Assigned		
REFERENCE DESIGNATION		U.S. PATENT AND PUBLISHED APPLICATION DOCUMENTS				
EXAM'S INIT.		DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE IF APPROPRIATE
/VB/	AA	5,108,416	04/28/1992	Ryan et al.	606/194	
	AB	5,116,318	05/26/1992	Hillstead	604/96	
	AC	5,409,495	04/25/1995	Osborn	606/108	
	AD	6,361,555 B1	03/26/2002	Wilson	623/1.11	
	AE	6,371,962 B1	04/16/2002	Ellis et al.	606/108	
	AF	6,379,365 B1	04/30/2002	Diaz	606/108	
	AG	6,383,212 B2	05/07/2002	Durcan et al.	623/1.11	
	AH	6,391,032 B2	05/21/2002	Blaeser et al.	606/108	
	AI	6,395,008 B1	05/28/2002	Ellis et al.	606/108	
	AJ	6,419,685 B2	07/16/2002	Di Caprio et al.	606/192	
	AK	6,447,501 B1	09/10/2002	Solar et al.	604/528	
	AL	6,468,298 B1	10/22/2002	Pelton	623/1.11	
	AM	6,488,688 B2	12/03/2002	Lim et al.	606/108	
	AN	6,488,694 B1	12/03/2002	Lau et al.	606/194	
	AO	6,506,202 B1	01/14/2003	Dutta et al.	606/194	
	AP	6,517,548 B2	02/11/2003	Lorentzen Cornelius et al.	606/108	
	AQ	6,527,789 B1	03/04/2003	Lau et al.	606/194	
	AR	6,544,268 B1	04/08/2003	Lazarus	606/108	
	AS	6,589,274 B2	07/08/2003	Stiger et al.	623/1.11	
	AT	6,592,550 B1	07/15/2003	Boatman et al.	604/103.06	
	AU	6,592,568 B2	07/15/2003	Campbell	604/509	
	AV	6,592,592 B1	07/15/2003	Cox	606/108	
	AW	6,602,226 B1	08/05/2003	Smith et al.	604/103.05	
/VB/	AX	6,605,107 B1	08/12/2003	Klein	623/1.11	
	AY					
EXAMINER		/Ny Bui/		DATE CONSIDERED		
				12/26/2007		
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.						

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1 of 1

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FORM PTO-1449 (Modified)			ATTY DOCKET NO.: 863.2-10325		APPLICATION NO.: 10/004,729	
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)			APPLICANT: Euteneuer, Charles L. et al.			
			FILING DATE: December 4, 2001		GROUP: 3764	
REFERENCE DESIGNATION			U.S. PATENT AND PUBLISHED APPLICATION DOCUMENTS			
EXAM'S INIT.		DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE IF APPROPRIATE
/VB/	AA	5,242,451	09/07/93	Herada, et al.	606/108	
	AB					
	AC					
	AD					
	AE					
	AF					
	AG					
	AH					
	AI					
	AJ					
	AK					
	AL					
FOREIGN PATENT DOCUMENTS						
		DOCUMENT NUMBER	DATE	COUNTRY		
	BA					
	BB					
	BC					
	BD					
	BE					
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)						
	CA					
	CB					
	CC					
EXAMINER		/Vy Bui/		DATE CONSIDERED		12/26/2007
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.						

FAW/WORKFORMSPTO-1449.FRM

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FORM PTO-1449 (Modified)  
INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT  
(Use several sheets if necessary)

ATTY DOCKET NO.: S63.2-6399

SERIAL NO.: 08/807,791

APPLICANT: Charles L. EUTENEUER et al.

FILING DATE: February 28, 1997

GROUP: 3306

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAM'S INIT.		PATENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
/VB/	AA	5,817,102	October 6, 1998	Johnson et al.			
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						

FOREIGN PATENT DOCUMENT OR PUBLISHED FOREIGN PATENT APPLICATION

		DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY OF PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	BA							
	BB							
	BC							
	BD							
	BE							
	BF							
	BG							

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

	CA	
	CB	
	CC	
	CD	
	CE	

EXAMINER

/Vy Bui/

DATE CONSIDERED

12/26/2007

with next communication to applicant.

Page 1 of 1

PAW/POB/CRA/US-1449

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TRADEMARK FORM PTO-1449 (Modified) INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)		ATTY DOCKET NO.: 563.2-6399		SERIAL NO.: 08/807,791			
APPLICANT: Charles L. EUTENEUER et al.		FILING DATE: February 28, 1997		GROUP: 3306			
REFERENCE DESIGNATION		U.S. PATENT DOCUMENTS					
EXAM'S INIT.		PATENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
FOREIGN PATENT DOCUMENT OR PUBLISHED FOREIGN PATENT APPLICATION							
		DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY OF PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION YES NO
	1A	0 265 957 A2	5/11/88	EP			X
	1B						
	1C						
	1D						
	1E						
	1F						
	1G						
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)							
	CA						
	CB						
	CC						
	CD						
	CE						
EXAMINER		/Ny Bui/		DATE CONSIDERED		12/26/2007	
with next communication to applicant.							

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FORM PTO-400 (Modified)  
 INFORMATION DISCLOSURE  
 SEP 22 2003 STATEMENT BY APPLICANT  
 (Use several sheets if necessary)

ATTY DOCKET NO: S632-6399

Serial No: 08/807,791

APPLICANT: Charles L. Euteneuer, Christopher J. Larson, Steven P. Mertens, Richard C. Mattison, David J. Blesser, Louis G. Ellis, Andrew J. Duszbek

FILING DATE: February 28, 1997

Group:

REFERENCE DESIGNATION		U.S. PATENT DOCUMENTS					
EXAM'S INIT.	PATENT NUMBER	ISSUE DATE	PATENTER	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
/VB/	AA 4,328,056	05/04/1982	Snooks				
	AB 4,338,942	07/13/1982	Fogarty				
	AC 4,423,725	01/03/1984	Baran et al.				
	AD 4,608,984	09/02/1986	Fogarty				
	AE B1 4,733,665	01/11/1994	Palmaz				
	AF 4,740,207	04/26/1988	Kremer				
	AG 4,744,366	05/17/1988	Jang				
	AH 4,848,343	07/18/1989	Wallstein et al.				
	AI 4,875,480	10/24/1989	Imbert				
	AJ 4,950,227	08/21/1990	Savin et al.				
	AK 5,007,926	04/16/1991	Derbyshire				
/VB/	AL 5,026,377	06/25/1991	Burton et al.				

FOREIGN PATENT DOCUMENT OR PUBLISHED FOREIGN PATENT APPLICATION

	DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY OF PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
BA	0 257 091 B1	07/28/1993	EPO			X	
BB	0 274 846 B1	02/09/1994	EPO			X	
BC	0 442 657 A2	08/21/1991	EPO			X	
BD	0 529 039 A1	02/24/1993	EPO			X	
BE	0 553 960 A1	08/04/1993	EPO			X	
BF	0 627 201 A1	12/07/1994	EPO			X	
BG	EP 0 699 451 A2	03/06/1996	EPO			X	
BH	EP 0 707 837 A1	04/24/1996	EPO			X	
BI	WO 93/19703	10/14/1993	PCT			X	
BJ	WO 96/03092 A1	02/08/1996	PCT			X	
BK	WO 96/03072	02/08/1996	PCT			X	

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

CA	Julio C. Palmaz et al., EXPANDABLE INTRALUMINAL GRAFT: A PRELIMINARY STUDY work in progress <sup>1</sup> , RADIOLOGY 1985; 156:73-77.
CB	

EXAMINER /Vy Bui/ DATE CONSIDERED 12/26/2007

Send communication to applicant.

Page 1 of 3

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FORM PTO-1449 (Modified)

ATTY DOCKET NO: 563.2-6399

Serial No: 08/307,791

INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

APPLICANT: Charles L. Eutenauer, Christopher Larson, Steven P. Mortens, Richard C. Mattison, David J. Blaeser, Louis G. Ellis, Andrew J. Dostalick

FILING DATE: February 28, 1997

Group:

REFERENCE DESIGNATION		U.S. PATENT DOCUMENTS					
EXAM'S INT.		PATENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
/VB/	AA	5,037,392	08/06/1991	Hillstead			
	AB	5,049,132	09/17/1991	Shaffer et al.			
	AC	5,071,407	12/10/1991	Termin et al.			
	AD	5,108,416	04/28/1992	Ryan et al.			
	AE	5,116,318	05/26/1992	Hillstead			
	AF	5,158,548	10/27/1992	Lau et al.			
	AG	5,226,880	07/13/1993	Martin			
	AH	5,226,889	07/13/1993	Shelban			
	AI	5,242,399	09/07/1993	Lau et al.			
	AJ	5,290,306	03/01/1994	Trotta et al.			
	AK	5,342,305	08/30/1994	Shonk			
	AL	5,344,402	09/06/1994	Crocker			
	AM	5,344,426	09/06/1994	Lau et al.			
	AN	5,358,487	10/25/1994	Miller			
	AO	5,378,237	01/03/1995	Boussemac et al.			
	AP	5,403,341	04/04/1995	Solar			
	AQ	5,405,380	04/11/1995	Gianotti et al.			
	AR	5,409,495	04/25/1995	Osborn			
	AS	5,415,664	05/16/1995	Pinchuk			
	AT	5,445,646	08/29/1995	Eutenauer et al.			
	AU	5,447,497	09/05/1995	Sogard et al.			
	AV	5,453,090	09/26/1995	Martinez et al.			
	AW	5,458,615	10/17/1995	Klemen et al.			
	AX	5,470,313	11/28/1995	Crocker et al.			
/VB/	AY	5,507,768	04/16/1996	Lau et al.			

EXAMINER /Vy Bui/ DATE CONSIDERED 12/26/2007

EXAMINER: Initial citation considered. Draw line through citation if not in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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FORM PTO-1009 (Modified)

ATTY DOCKET NO: 563.2-6399

Serial No: 08/807,791

INFORMATION DISCLOSURE  
STATEMENT

APPLICANT: Charles L. Euteneuer, Christopher Larson, Steven P. Mertens, Richard C. Mattison,  
David J. Blaese, Louis G. Ellis, Andrew J. Durbabel

FILING DATE: February 28, 1997

Group:

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAM'S INT.		PATENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
/VB/	AA	5,512,051	04/30/1996	Wang et al.			
/VB/	AB	5,534,007	07/09/1996	St. Germain et al.			
/VB/	AC	5,536,252	07/16/1996	Imran et al.			
	AD						
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	AI						
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EXAMINER

/Ny Bui/

DATE CONSIDERED

12/26/2007

EXAMINER: Initial citation considered. Draw line through citation if not in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Page 3 of 3

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FORM PTO-1449 (Modified)

LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S INFORMATION DISCLOSURE

STATEMENT

(Use several sheets if necessary)

ATTY DOCKET NO: 563.2.6048

Serial No: 08/697,453

APPLICANT: Louis G. Biff, Andrew J. Drobach

FILING DATE: August 23, 1996

Group: 3309

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER'S INIT.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
/VB/	AA 3,699,595	10/05/1954	Raishe			
	AB 4,271,839	06/09/1981	Pogarty et al.			
	AC 4,327,736	05/04/1982	Inoue			
	AD 4,403,612	09/15/1983	Pogarty			
	AE 4,576,871	03/19/1986	Ciesielski			
	AF 4,637,386	01/20/1987	Cook			
	AG 4,649,914	03/17/1987	Kowalewski			
	AH 4,703,252	10/27/1987	Brooks et al.			
	AI 4,763,654	08/16/1988	Jane			
	AJ 4,787,388	11/20/1988	Hoffmann			
/VB/	AK 4,885,184	12/05/1989	Tight, Jr. et al.			

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	AL 0 224 411 A2	07/13/1988	EPO			X	
	AM 0 420 488 A1	04/03/1991	EPO			X	
	AN 0 420 488 B1	04/03/1993	EPO			X	
	AO 0 457 456 A1	11/21/1991	EPO			X	
	AP 0 540 858	03/12/1993	EPO			X	

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

AR	Kim et al., Mechanical and Transport Properties of Coextruded Films, <i>Journal of Applied Polymer Science</i> , Vol. 29, p. 2359-2382 (1984).
AS	May et al., Polyvinyl and vinyl copolymers, <i>MODERN PLASTICS ENCYCLOPEDIA</i> , 1986-1987, p. 82.
AT	Daniel G. Adams, BME, PTCA Balloon Materials, Their Characteristics and Impact on Catheter Selection, Sales Training Technical Notes.

EXAMINER

/V/ Bui/

DATE CONSIDERED

12/26/2007

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FWP/WORKFORMS/PTO-1449.FRM

Page 1 of 4

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FBI/DOJ

FORM PTO/A-49 (Modified)

ATTY DOCKET NO: 563.2-6048

Serial No: 08/697,453

INFORMATION DISCLOSURE  
STATEMENT  
(Use several sheets if necessary)

APPLICANT: Louis G. Ellis, Andrew J. Dusbabek

FILING DATE: August 23, 1996

Group: 2309

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAM'S INIT.	PATENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
/VB/	AA 4,932,958	06/12/1990	Reddy et al.			
	AB 4,983,167	01/08/1991	Sahota			
	AC 4,990,139	02/05/1991	Jang			
	AD 4,994,033	02/19/1991	Shokey et al.			
	AE 5,049,131	09/17/1991	Deuss			
	AF 5,057,092	10/15/1991	Webster, Jr.			
	AG 5,071,406	12/10/1991	Jang			
	AH 5,090,958	02/25/1992	Sahota			
	AI 5,096,848	03/17/1992	Kawanama			
	AJ 5,108,370	04/28/1992	Walinsky			
	AK 5,195,969	03/23/1993	Wang et al.			
	AL 5,264,260	11/23/1993	Saab			
	AM 5,270,086	12/14/1993	Hamlin			
	AN 5,285,962	03/22/1994	Crocker et al.			
	AO 5,298,300	03/29/1994	Hosoi et al.			
	AP 5,304,132	04/19/1994	Jang			
	AQ 5,306,250	04/26/1994	March et al.			
	AR 5,344,401	09/06/1994	Radtsch et al.			
	AS 5,348,538	09/20/1994	Wang et al.			
	AT 5,358,487	10/25/1994	Miller			
	AU 5,415,635	05/16/1995	Bagnois et al.			
	AV 5,478,320	12/26/1995	Trotta			
	AW 5,490,839	02/13/1996	Wang et al.			
/VB/	AX 5,587,125	12/24/1996	Roychowdhury			
	AY					

EXAMINER

/Vy Bui/

DATE CONSIDERED

12/26/2007

EXAMINER: Initial citation considered. Draw line through citation if not in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO/A-49 (Rev. 11-95)

Page 2 of 4

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ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /VB/

FORM PTO-449 (Modified)

LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S INFORMATION DISCLOSURE  
STATEMENT

(Use several sheets if necessary)

ATTY DOCKET NO: S63.2-6048

Serial No: 08/697,453

APPLICANT: Louis G. Ellis, Andrew J. Dusbabek

FILING DATE: August 23, 1996

Group: 3309

## FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

EXAM'S INIT.	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION Yes No
	BA 0 582 870 A2	02/16/1994	EPO			X
	BB WO 92/08512	05/29/1992	PCT			X
	BC WO 92/19440	11/12/1992	PCT			X
	BD WO 95/09667	04/13/1995	PCT			X
	BE WO 95/22367	08/24/1995	PCT			X
	BF WO 96/04951	02/22/1996	PCT			X
	BG					
	BH					
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	BJ					
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	BW					
	BX					
	BY					
	BZ					

EXAMINER

/Vy Bul/

DATE CONSIDERED

12/26/2007

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Page 3 of 4

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ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /VB/



SEP 22 2003

FORM PTO-159 (Modified) INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)		ATTY DOCKET NO: S63.2-6048	Serial No: 08/697,453
		APPLICANT: Louis G. Ellis, Andrew J. Durbabek	
		FILING DATE: August 23, 1996	Group: 3309
OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)			
CA	Tailoring Expansion Properties of Balloons for Medical Devices, <i>HealthWatch</i> , Prepared October 25, 1994 at 3:04, p. 3.		
CB	Avanindra Jain et al., Effect of Inflation Pressures on Coronary Angioplasty Balloons, <i>THE AMERICAN JOURNAL OF CARDIOLOGY</i> , January 1, 1986, Volume 57, p. 26-28.		
CC	Dialog Patent Search dated November 3, 1994.		
CD			
CE			
CF			
CG			
CH			
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CN			
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CQ			
EXAMINER	/s/ Vy Bui/	DATE CONSIDERED	12/26/2007
EXAMINER: Initial citation considered. Draw line through citation if not in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.			

P01WICR/FORMS/025-CA

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ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /VB/



1449 (Modified)		ATTY DOCKET NO: 567.3-0050		Serial No: 08702.150	
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT		APPLICANTS: Louis G. Ellis, Andrew J. Dambach, Christopher R. Larnet, Terry V. Brown			
(Use several sheets if necessary)		FILING DATE: August 23, 1996		Group:	

REFERENCE DESIGNATION		U.S. PATENT DOCUMENTS				
EXAMINER'S NOT.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
/VB/	A.A. 4,378,035	03/04/1992	Smoots			
	A.B. 4,338,942	07/12/1992	Forsyth			
	A.C. 4,423,725	01/02/1994	Buran et al.			
	A.D. 4,608,984	09/03/1996	Forsyth			
	A.E. 4,723,665	03/29/1998	Palmea			
	A.F. D1 4,733,665	01/11/1994	Palmea			
	A.G. 4,746,207	04/26/1988	Krechner			
	A.H. 4,744,366	01/17/1998	Jane			
	A.I. 4,843,243	07/18/1999	Walenda et al.			
	A.J. 4,875,480	10/24/1989	Imbert			
/VB/	A.K. 4,956,227	04/21/1990	Savin et al.			

FOREIGN PATENT DOCUMENTS							
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	AL 0 257 091 B1	01/28/1993	EPO			X	
	AM 0 445 657 A2	09/21/1991	EPO			X	
	AN 0 523 960 A1	08/04/1993	EPO			X	
	AO 0 877 200 A1	12/07/1994	EPO			X	
	AP 0 899 451 A2	03/06/1996	EPO			X	

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)	
AR	Julie C. Palmea et al., <i>Esophageal Intraabdominal Graft: A Preliminary Study, Work In Progress</i> , From the Departments of Radiology (J.C.P., R.R.S., S.R.R.) and Pathology (F.O.T.) University of Texas Health Science Center at San Antonio and Memorial Medical Center (N.J.K.), Corpus Christi, Texas, <u>3418/0127</u> , Volume 336, Number 1, Pages T3-T7.
AS	
AT	

EXAMINER	/Vy Bu/	DATE CONSIDERED	12/26/2007
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with test submission is sufficient.

FORMWORK/FORMS/PTD-1449.FRM

Page: 1 of 4

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ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /VB/





FORM PTO-149 (Rev. 10-1996) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use reverse sheet if necessary)				ATTY DOCKET NO: 563.2-6950		Serial No: 08/102,150	
APPLICANT: Louis G. Ellis, Andrew J. Dabbsch, Christopher R. Lanen, Terry V. Brown				FILING DATE: August 22, 1996		Group:	

REFERENCE DESIGNATION		U.S. PATENT DOCUMENTS				CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
EXAM'S INT.		DOCUMENT NUMBER	DATE	NAME				
/VB/	AA	5,007,926	04/16/1991	Dalbyshtre				
	AI	5,026,777	06/03/1991	Burton et al.				
	AC	5,037,392	05/06/1991	Hillstead				
	AD	5,049,131	09/17/1991	Shaffer et al.				
	AE	5,108,416	04/08/1992	Ryan et al.				
	AF	5,116,218	05/26/1992	Hillstead				
	AG	5,148,548	10/27/1992	Lau et al.				
	AH	5,226,180	07/12/1993	Mendis				
	AI	5,226,189	07/13/1993	Shelton				
	AJ	5,243,399	09/07/1993	Lau et al.				
/VB/	AK	5,290,306	03/01/1994	Troia et al.				

FOREIGN PATENT DOCUMENTS							
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	AL 0,707,837 A1	04/24/1995	SPO			X	
	AN WD 93/19103	10/14/1993	PCT			X	
	AN WD 96/01073	02/08/1996	PCT			X	
	AC WD 86/01092 A1	02/08/1996	PCT			X	
	AP						

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)		
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EXAMINER /Vy.Bui/	DATE CONSIDERED 12/26/2007
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with new communication as appropriate.

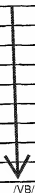
FWPWORKFORMSPTO-149.FRM

Page 2 of 4

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ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /VB/

FORM PTO 604 (modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)		Serial No: 08/02,150	
ATTY DOCKET NO: 563.2-6050		APPLICANT: Louis G. Ellis, Andrew J. Dushabek, Christopher R. Larson, Terry V. Brown	
FILING DATE: August 23, 1996		Group:	

REFERENCE DESIGNATION		U.S. PATENT DOCUMENTS		CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
EXAMINER'S INT.	DOCUMENT NUMBER	DATE	NAME			
/VB/  /VB/	AA	5,343,305	08/20/1994	Shank		
	AB	5,344,436	08/05/1994	Lau et al.		
	AC	5,358,487	10/25/1994	Miller		
	AD	5,403,241	04/04/1995	Solar		
	AE	5,405,380	04/11/1995	Cianci et al.		
	AF	5,409,495	04/25/1995	Orbom		
	AG	5,415,664	05/18/1995	Pfeleut		
	AJ	5,425,646	08/29/1995	Euleracher et al.		
	AK	5,447,497	09/05/1995	Seward et al.		
	AL	5,452,090	09/26/1995	Marinich et al.		
	AX	5,458,415	10/17/1995	Kiem et al.		

FOREIGN PATENT DOCUMENTS							
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
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EXAMINER	/Vy Bui/	DATE CONSIDERED	12/26/2007
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Page 2 of 2

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FORM PTO-149 (Rev. 11-2002)				ATTY DOCKET NO: 560.2-6050		Serial No: 06/767,150	
APPLICANT'S INFORMATION DISCLOSURE STATEMENT <small>(Use several sheets if necessary)</small>				APPLICANT: Leslie G. Ellis, Andrew J. Datschek, Christopher R. Lanza, Terry V. Brown			
				FILING DATE: August 23, 1996		Group:	

REFERENCE DESIGNATION		U.S. PATENT DOCUMENTS					
EXAMINER'S DATE	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
/VB/	AA	5,470,313	11/25/1993	Crocker et al.			
↓	AB	5,387,748	04/16/1995	Lau et al.			
↓	AC	5,512,091	04/10/1996	Wang et al.			
↓	AD	5,534,007	07/09/1996	St. Germain et al.			
/VB/	AE	5,476,332	07/16/1996	Imeson et al.			
	AF						
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	AH						
	AI						
	AJ						
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FOREIGN PATENT DOCUMENTS							
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
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OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)		
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EXAMINER	/Vy Bul/	DATE CONSIDERED 12/26/2007
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 509; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Page 4 of 3

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<b>FORM 100 (Revised)</b> <b>LIST OF PATENTS AND PUBLICATIONS FOR</b> <b>APPLICANT'S INFORMATION DISCLOSURE</b> <b>STATEMENT</b> <small>(Use several sheets if necessary)</small>				<b>ATTY DOCKET NO. 543,14030</b>		<b>Serial No: 98/702,150</b>	
<b>APPLICANT:</b> Louis G. Ellis, Andrew J. Dabobek, Christopher R. Larson, Terry V. Brown				<b>FILING DATE:</b> August 23, 1996		<b>Group:</b>	

REFERENCE DESIGNATION		U.S. PATENT DOCUMENTS					
EXAMINER'S INT.		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
/VB/	AA	5,378,237	1/3/95	Boushence et al			
/VB/	AB	5,344,402	9/6/94	Crocker			
	AC						
	AD						
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FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
							YES NO
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OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)		
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<b>EXAMINER</b> /Vy Bui/	<b>DATE CONSIDERED</b> 12/26/2007
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Page 1 of 1

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FORM PTO-1445 (Modified)				ATTY DOCKET NO.: 563.2-6050		SERIAL NO.: 08/702,150	
INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)				APPLICANT: Louis G. ELLIS et al.			
				FILING DATE: August 23, 1996		GROUP: 3301	
REFERENCE DESIGNATION U.S. PATENT DOCUMENTS							
EXAMPS INIT.	PATENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)	
VB/	AA 5,633,691	3/5/97	Rupp et al.	604	96	4/25/96	
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
FOREIGN PATENT DOCUMENT OR PUBLISHED FOREIGN PATENT APPLICATION							
	DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY OF PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION YES NO	
	BA						
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OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)							
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EXAMINER		/Vy Bul/		DATE CONSIDERED 12/26/2007			

EXAMINER: Initial citation considered. Draw line through citation if not in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1445 (Rev. 1-94)

Page 1 of 1

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FORM PTO-1449 (Unaffiliated)  
7/2003  
LIST OF PATENTS AND  
PUBLICATIONS  
FOR APPLICANT'S  
INFORMATION DISCLOSURE  
STATEMENT

ATTY DOCKET NO.: S63.2-10325

APPLICATION NO.: 10/004,729

APPLICANT: Charles L. Esteneuer, et al

FILING DATE: December 4, 2001

GROUP: 3764

REFERENCE DESIGNATION

U.S. PATENT AND PUBLISHED APPLICATION DOCUMENTS

EXAM'S INIT.		DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE IF APPROPRIATE
	/VB/	AA	5,776,141	07/07,1998	Klein, et al.	606/108
		AB				
		AC				
		AD				
		AE				
		AF				
		AG				
		AH				
		AI				
		AJ				

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY
		BA		
		BB		
		BC		
		BD		

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

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	CB	

EXAMINER

/Vy Bui/

DATE CONSIDERED

12/26/2007

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



FORM PTO-9 (Modified)

**LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S INFORMATION DISCLOSURE  
STATEMENT**

(Use several sheets if necessary)

ATTY DOCKET NO: 563.2-6048

Serial No: 06/697,453

APPLICANT: Louis G. Ellis, Andrew J. Dushobek

FILING DATE: August 23, 1996

Group:

**REFERENCE DESIGNATION**

**U.S. PATENT DOCUMENTS**

EXAMINER'S INIT.		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
/VB/	AA	5,378,237	01/03/1995	Bousignac et al			
/VB/	AB	5,344,402	09/06/1994	Crocker			
	AC						
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	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

**FOREIGN PATENT DOCUMENTS**

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
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	AN							
	AO							
	AP							

**OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)**

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EXAMINER: /Vy Bui/ DATE CONSIDERED: 12/26/2007

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /VB/

FORM PTO-609 (Modified) SEP 22 2003 INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)		ATTY DOCKET NO: 563.2-6048 APPLICANT: Louis G. Ellis, Andrew J. Dushabek FILING DATE: August 23, 1996		Serial No: 08/697,453 Group: 3309		
REFERENCE DESIGNATION TRADEMARK EXAMINER'S		U.S. PATENT DOCUMENTS				
ENT.	PATENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
/VB/	AA 5,344,426	09/06/1994	Lau et al.			
	AB					
	AC					
	AD					
	AE					
	AF					
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	AH					
	AI					
	AJ					
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FOREIGN PATENT DOCUMENT OR PUBLISHED FOREIGN PATENT APPLICATION							
	DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY OF PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
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	BB						
	BC						
	BD						
	BE						

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)		
CA		
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EXAMINER	/Vy Bui/	DATE CONSIDERED	12/26/2007
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EXAMINER: Initial citation considered. Draw line through citation if not in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Page 1 of 1

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FORM PTO-449 (Modified)

ATTY DOCKET NO: S63.2-6048

Serial No: 08/697,453

SEP 2 2 2003

## INFORMATION DISCLOSURE

## STATEMENT BY APPLICANT

(Use several sheets if necessary)

APPLICANT: Louis G. Ellis, Andrew J. Dusbabek

FILING DATE: August 23, 1996

Group: 3309

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAM'S INT.		PATENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
/VB/	AA	4,423,725	01/03/1984	Baran et al.			
	AB	4,744,366	05/17/1988	Jang			
	AC	4,950,227	08/21/1990	Savin et al.			
	AD	5,037,392	08/06/1991	Hillstead			
	AE	5,116,318	05/26/1992	Hillstead			
	AF	5,226,880	07/13/1993	Martin			
	AG	5,342,305	08/30/1994	Shonk			
	AH	5,405,380	04/11/1995	Gianotti et al.			
	AI	5,415,664	05/16/1995	Pinchuk			
	AJ	5,470,313	11/28/1995	Crocker et al.			
	AJ	5,534,007	07/09/1996	St. Germain et al.			
/VB/	AK	5,536,252	07/16/1996	Imran et al.			

## FOREIGN PATENT DOCUMENT OR PUBLISHED FOREIGN PATENT APPLICATION

	DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY OF PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	BA 0 442 657 A2	08/21/1991	EPO			X	
	BB 0 553 960 A1	08/04/1993	EPO			X	
	BC W096/03072 A1	02/08/1996	PCT				X
	BD W096/03092 A1	02/08/1996	PCT			X	
	BE EP 0 707 837 A1	04/24/1995	EPO			X	

## OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

CA	Julio C. Palmaz et al., 156 RADIOLOGY 73 (1985), <i>Expandable Intraluminal Graft: A Preliminary Study</i> .
CB	
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EXAMINER

/Vy Bui/

DATE CONSIDERED

12/26/2007

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INFORMATION DISCLOSURE  
STATEMENT  
(Use several sheets if necessary)

APPLICANT: Louis G. Ellis, Andrew J. Dusbabek

FILING DATE: August 23, 1996

Group: 3309

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER'S INIT.	PATENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
/VB/	AA 4,328,056	05/04/1982	Snooks			
	AB 4,338,942	07/13/1982	Fogarty			
	AC 4,608,984	09/02/1986	Fogarty			
	AD 4,733,665	03/29/1988	Palmar			
	AE 4,875,480	10/24/1989	Imbert			
	AF 4,848,343	07/18/1989	Wallstein et al.			
	AG 5,049,132	09/17/1991	Shaffer et al.			
	AH 5,226,889	07/13/1993	Sheiban			
	AI 5,290,306	03/01/1994	Trota et al.			
	AJ 5,358,487	10/25/1994	Miller			
	AK 5,409,495	04/25/1995	Osborn			
	AL 5,447,497	09/05/1995	Sogard et al.			
	AM 5,458,615	10/17/1995	Klemm et al.			
	AN 5,507,768	04/16/1996	Lau et al.			
	AO 5,512,051	04/30/1996	Wang et al.			
	AP 4,735,665	04/05/1988				
	AQ 4,740,207	04/26/1988	Kreamer			
	AR 5,007,926	04/16/1991	Derbyshire			
	AS 5,026,377	06/25/1991	Burton et al.			
	AT 5,108,416	04/28/1992	Ryan et al.			
	AU 5,158,548	10/27/1992	Lau et al.			
	AV 5,242,399	09/07/1993	Lau et al.			
	AW 5,403,341	04/04/1995	Solar			
	AX 5,445,646	08/29/1995	Euteneuer et al.			
/VB/	AY 5,453,090	09/26/1995	Martínez et al.			

EXAMINER

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DATE CONSIDERED

12/26/2007

EXAMINER: Initial citation considered. Draw line through citation if not in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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# Notice of References Cited

Application No.  
08/702,150

Applicant(s)

Ellia et al

Examiner

Justine Yu

Group Art Unit

3301

Page 1 of 1

## U.S. PATENT DOCUMENTS

	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS
A	/VB/ 5,108,416	Apr. 1992	Ryan et al	806	184
B	5,445,648	Aug. 1995	Euteneuer et al	806	188
C	5,304,198	Apr. 1994	Samson	806	194
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## FOREIGN PATENT DOCUMENTS

	DOCUMENT NO.	DATE	COUNTRY	NAME	CLASS	SUBCLASS
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D						
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## NON-PATENT DOCUMENTS

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W		
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Only foreign and non-patent documents scanned in the electronic file have been considered. VB